



Preference Questionnaire for Sustainability

Sustainability funds may have a UK sustainability label, choose not to use a label, or be based outside the UK. Not all sustainable funds need to use a label.

The UK sustainable investment labels:

Sustainability Focus: These funds invest mainly in assets that focus on sustainability for people or the planet. Examples include investing in companies providing healthcare products or services, or that use water, energy or materials efficiently.

Sustainability Impact: These funds invest mainly in solutions to sustainability problems with an aim to achieve a positive impact for people or the planet. Examples may include increasing renewable energy generation and social housing.

Sustainability Improvers: These funds invest mainly in assets that may not be sustainable now, but aim to improve their sustainability. Examples may include investments in companies that are on a credible path to reducing carbon emissions, or are committed to improving social standards such as human rights.

Sustainability Mixed Goals: These funds invest mainly in a mix of assets that either focus on sustainability, aim to improve their sustainability over time, or aim to achieve a positive impact for people or the planet. Examples may include a mixture of investments from Improvers, Focus and Impact labelled funds.

For more information: [**FCA Sustainable investment labels and anti-greenwashing**](#)

Using this questionnaire, you do not need to complete all sections. Your adviser will help you focus on the areas that are most relevant to your personal preferences.

Understanding this questionnaire: This questionnaire is optional and is only used if you would like to express your investment preferences in more detail. You can choose to:

- keep your preferences simple and leave decisions to your adviser and the fund manager
- or provide more detail using this questionnaire

Your adviser will guide you through this and help you decide what is appropriate for you.

How your responses are used: Your responses help your adviser understand your preferences. They will be considered alongside:

- your financial goals
- your attitude to risk and capacity for loss
- your time horizon
- and your wider financial circumstances

Your adviser will recommend an investment approach that is suitable for you, which may involve balancing or adapting these preferences.

Important to know: Not all preferences can always be applied exactly as requested. There may be trade-offs between preferences, diversification and risk. Different investments take different approaches to sustainability and ethical considerations. Selecting specific themes or outcomes does not guarantee particular real-world results. Your adviser will explain what is possible and any limitations.

I confirm I have read the Investment Preference Pathway information and am ready to express my preferences in more detail.

Section 1: Improving sustainability over time

Positive factors. Aiming for outcomes over time:	Please tick:	Comment:
I wish to seek opportunities related to climate change such as decarbonisation, renewable energy, energy efficiency, and low-carbon technology		
I wish to seek opportunities related to biodiversity, nature and the environment such as environmental management and technology		
I wish to seek opportunities related to social aspects such as human rights, society, community, education, health and welfare		
Other improving/transition outcomes		

Section 2: Investing in assets that are already sustainable

Seeking opportunities and themes:	Please tick:	Comment:
I wish to seek opportunities related to climate change such as renewable energy, energy efficiency, and low-carbon technology		
I wish to seek opportunities related to biodiversity, nature and the environment such as environmental management and technology		
I wish to seek opportunities related to social aspects such as human rights, society, community, education, health and welfare		
Other themes		

Section 3: Aiming for measurable positive impact

Please indicate below if there are particular areas you are interested in:	Please indicate your level of interest (1=low, 5=high):	Comment:
Housing		
Climate / emissions reduction		
Healthcare		
Sustainable Agriculture		
Renewable Energy		
Conservation		
Biodiversity		
Microfinance		
Other (please specify use the United Nations Sustainable Development Goals questionnaire if relevant)		

Section 4: Optional exclusions (basic screens)

Negative factors: I would like my investment to avoid:	Must avoid:	Avoid where possible:	Comment:
Tobacco			
Gambling			
Adult entertainment			
Controversial weapons			
Nuclear energy			
Other *			

* If avoiding certain activities is important to you, you may prefer to use the Ethical/Values-based questionnaire.

Any other comments:

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Client name:

Client signature:

Date:

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