STRATA TRUST COMPANY

Quick Guide

Use this quick guide to overview the requirements for subscription agreement documents, asset registration, and how to submit future funding for private equity investments. For a more detailed, step-by-step guide on how to onboard, fund, and direct your investments, including expected timelines and what to anticipate next, please visit STRATA's Investment Hub page.

SUBSCRIPTION AGREEMENT

- **Who Signs?** The investor, investment sponsor, and STRATA.
- **Who Prepares the Subscription Agreement?** The investment sponsor and/or investor.

A subscription agreement is provided by the investment sponsor. An unexecuted document is required to onboard a new private equity investment – learn more here. This may be referred to as the purchase agreement, investment agreement, shareholder agreement, limited partnership agreement, or operating agreement.

A completed subscription document (signed and filled out by the investor and investment sponsor) is also required from the investor when submitting STRATA's Investment Direction - Private Investments form, which provides instructions to fund an investor's new investment. If the investment sponsor requires an investor questionnaire to be completed, fill this out prior to sending it to STRATA. Once it is completed and signed by the investor, STRATA will sign it in its capacity as the IRA custodian.

ASSET REGISTRATION

- **Is the Asset Registered to the Investor?** No, it is registered in the name of the IRA.
- **Should the Investor Use Their Social Security Number?** No, STRATA's Tax ID number.

The investment will be owned by the IRA and STRATA is listed as the custodian. (Where applicable, STRATA's Tax ID should be used in place of the investor's SSN to retain the tax-exempt status for the IRA investment.) To reflect this, the asset registration must be titled as shown below:

STRATA Trust Company, Custodian
FBO (Accountholder Name) IRA (Account #)
P.O. Box 23149, Waco, TX 76702
Tax ID: 26-2637994

FUNDING INSTRUCTIONS

- **How Does the IRA Receive Proceeds?** Proceeds can be sent to STRATA via (1) check, (2) ACH, or (3) wire. Funds received without prior notification may cause delays in processing.

Investment sponsors can provide reconciliation instructions using STRATA's File Format for Bulk Payment template and email it to DepositInfo@StrataTrust.com. **Investors** may submit STRATA's Deposit Certification form prior to the funds being sent.

(1) CHECK INSTRUCTIONS	**U.S MAIL**	**OVERNIGHT DELIVERY**
Make payable to: STRATA Trust Company, Custodian FBO (Accountholder Name) IRA (Account #)	P.O. Box 849 Austin, TX 78767	901 S. Mopac Expressway, Barton Oaks Plaza II, STE 100 Austin, TX 78746
ACH OR WIRE INSTRUCTIONS	**(2) ACH**	**(3) WIRE**
Bank Name	Horizon Bank	Horizon Bank
Bank Address	600 W. 5th Street Austin, TX 78701	600 W. 5th Street Austin, TX 78701
ABA	111907940	111907940
For Credit To:	IRA Account # and Accountholder's Last Name	STRATA Trust Company, Custodial Account
Account #	4515532	4515532
Account Type:	Checking/DDA	
For Further Credit To:		Accountholder's Name, IRA #