



STRATA
TRUST COMPANY

ALL CLEAR.

When alternative IRA custody is this easy,
the possibilities are endless.

THE CLEAR CHOICE FOR AN ALTERNATIVE IRA CUSTODIAN

For over a decade, STRATA Trust Company has helped thousands of investors and institutional clients with the custody of a wide range of alternative investments in self-directed retirement accounts. We've built our reputation by delivering streamlined and straightforward custody, serviced by a team that is always available to help and well-versed in the related IRS rules and regulations. STRATA supports a broad range of investment professional partners in growing their asset base with self-directed IRAs.

A TRUSTED NAME

With offices in Austin and Waco, Texas, STRATA operates as a Texas-chartered trust company with direct oversight by the Texas Department of Banking. Backed by a tradition of independence, STRATA is a company with an exceptional growth story. Led by a seasoned team, our customers experience a clear difference in our approach to IRA custody.

- Founded in 2008
- Subsidiary of Horizon Bank Texas, SSB, headquartered in Austin, TX
- IRA Custodian regulated by the Texas Department of Banking-Texas Trust Charter
- Independent annual audits conducted to ensure IRA compliance and data security
- Compliance with IRS and DOL statutory requirements
- Compliance with federal BSA/AML, CIP and Patriot Act rules and requirements
- 350 years of collective experience
- 50+ employees with 75% of roles customer-facing
- Specializes in the custody of alternative investments and non-exchange traded investments
- Serving both individual investors and the financial services industry
- Nationwide service
- Board of directors' and business member of Retirement Industry Trust Association (RITA)

WHO WE WORK WITH

STRATA's custody and administration services are offered to individual investors and the professionals that serve them:

- Financial advisors
- Broker dealers
- Investment bankers
- Investment sponsors and platforms
- Family offices
- Attorneys and tax professionals
- Institutions

As a regulated custodian, STRATA's seasoned team is dedicated to shifting the complexity of holding alternative IRA investments in tax-advantaged retirement accounts into a seamless process for our individual investors and institutional clients.

Partnering with a custodian that is well-versed in alternative asset IRA investments can be a powerful tool to expand your asset generation or capital raising efforts.

“STRATA Trust is a great company to do business with and their client services is second to none. They have my IRA funds thankfully. Every time I need assistance they are pleasantly accommodating, efficient, thorough, and so very helpful.”

-Denise N.

“STRATA’s staff are highly efficient and attentive to detail. Customer service is exceptional. The tone on the phone is professional and consistently pleasant.

I highly recommend STRATA Trust for transfer of assets. My IRA and 1031 Exchange transfers went perfectly!”

- Gary W.

A BROAD RANGE OF INVESTMENT OPTIONS

STRATA’s flexible approach to transactions allows a wide range of alternative asset classes to be held with a single custodian. With our in-depth knowledge and expertise, we’ll ensure transactions are properly processed and recorded, with asset valuations appropriately reflected on account holder statements. We can guide investors through the complexities that alternative investments can present in tax-advantaged accounts.

With STRATA, your clients and investors have the freedom to invest in assets that include:



Directly-owned real estate—raw land, residential or commercial



Public or private LPs or LLCs



Private equity or debt investments



REITs



Trust deeds or mortgage notes



Precious metals



Promissory notes or corporate debt



Brokerage accounts for publicly-traded stocks, mutual funds or ETFs



Closely-held stocks



Other alternative investments



Equity or debt crowdfunding

In addition, these assets can be held in IRAs for Individuals or Small Businesses:

- Traditional IRA
- ROTH IRA
- SEP IRA
- SIMPLE IRA

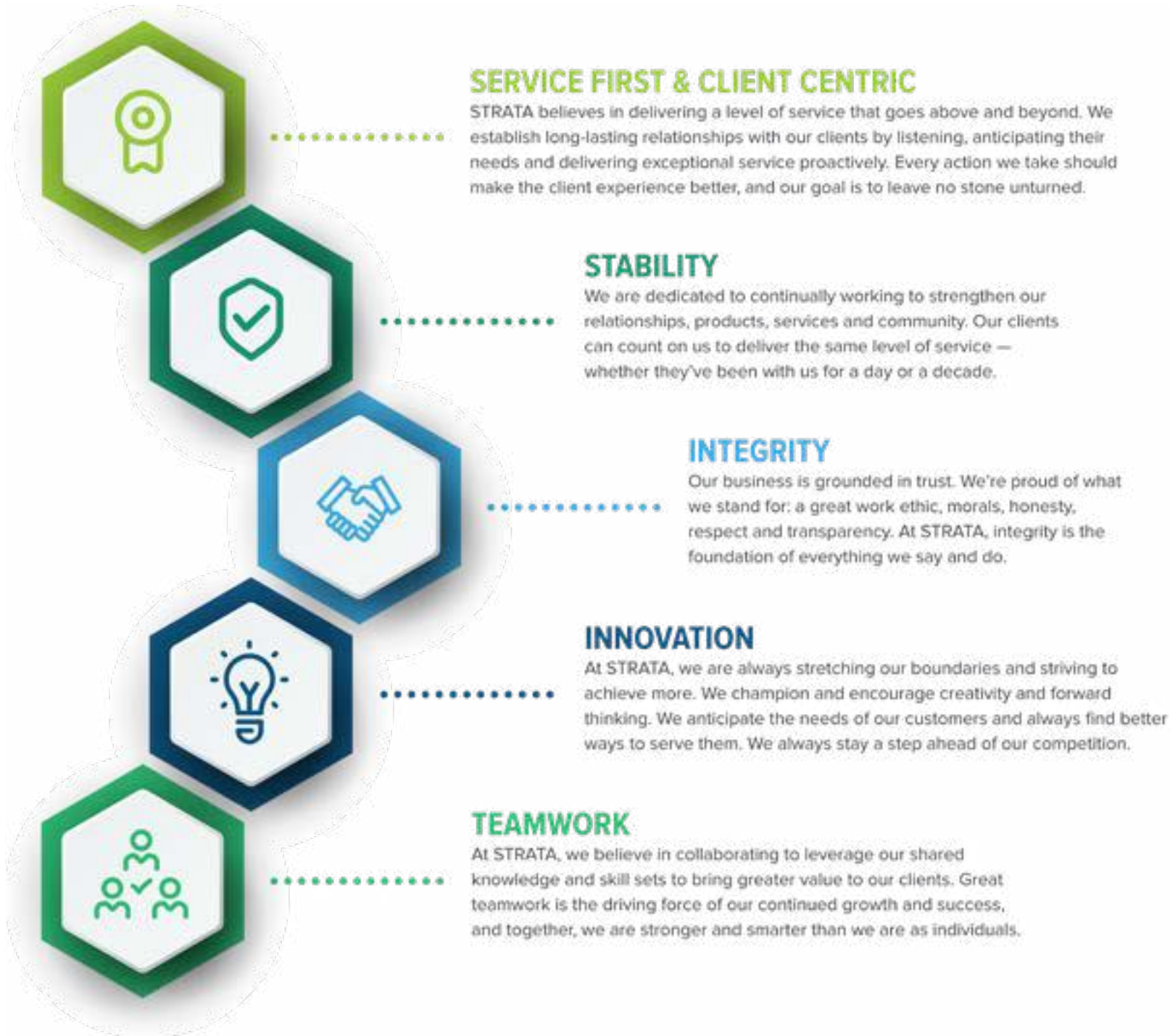




OUR BEDROCK VALUES

At STRATA, our core principles not only reflect who we are as individuals, but they guide our decisions, shape our culture and drive how we do business. Just like the layers of sedimentary rock that form the solid foundation on which we stand, our values form the bedrock of how we conduct our business.

It's long been proven that rock is the best foundation on which to build and grow, and the pathway for STRATA's culture and success are:





INSTITUTIONAL SERVICES AVAILABLE

The key to STRATA's growth and success is the ability to scale to the needs of advisors and investments of every size. Our commitment to delivering responsive, flexible and innovative solutions with a seamless end-to-end experience is unmatched.

Features of STRATA's Institutional services include:

- Online new account opening that includes e-consent
- API solutions available
- Pre-populated onboarding forms available
- Custom data reports, documents and files
- Secure delivery through either a STRATA-hosted or your own SFTP site
- Account, demographic and transactional data exports
- Business process workflow with optional email notifications
- Co-branded forms available
- ACH and wire solutions
- Priority processing
- Preferred pricing

WHAT SETS STRATA APART

STRATA's commitment to our clients, solid industry expertise, robust regulatory controls, investment flexibility and customer-focused technology create a clear difference amongst IRA service providers.

- Investment flexibility beyond what most banks, brokerage firms and other financial institutions allow
- Solid expertise in the custody of alternative investments
- Quick to respond and easily accessible
- Less red tape than most competitors
- **SERVICENOW** Customer-focused technology that lets you complete, sign and electronically submit common IRA forms
- Unmatched personalized service and dedication

THE DETAILS MATTER



WE UNDERSTAND YOUR BUSINESS

We start by taking the time to understand your business model, your alternative investment and your workflow to ensure that the account opening, funding and investment processes are effortless and hassle-free.



TRUE TEAM PLAYERS

We use our in-depth alternative asset and custody knowledge to shepherd your journey from end-to-end and work with your needs while safeguarding your client from potential pitfalls.



TIMELY PROCESS

We understand the sense of urgency to wrap up a transaction for your client. That's why we are committed to opening accounts online within 24 hours and our client service team is always available to deliver a fast and smooth experience.



DIGITAL PLATFORMS

We provide innovative, versatile solutions and technology for institutions and professionals. We simplify and streamline the digital alternative asset custody experience with an easy-to-read dashboard, online forms and communication alerts for you and your clients.



CONSOLIDATED ACCOUNT DASHBOARDS

We offer customizable views to show a consolidated overview of all of your clients' accounts, to make it quicker and easier in your statement preparation and reporting processes.



LEADERS IN OUR INDUSTRY

STRATA is committed to continually investing resources towards the success of our employees and the industry in which we serve. We fully support our employees' education and professional development through conferences, training and other learning opportunities. As a regulated financial services company, STRATA employees complete training each year that covers Data Security, Bank Secrecy Act/Anti Money Laundering, Customer Identification Program and Disaster Recovery.

STRATA has been a long-standing member of Retirement Industry Trust Association (RITA), an industry trade association dedicated to excellence and best practices in the administration of self-directed retirement accounts. In addition, STRATA's management team is actively engaged in the industry by serving on the board and through committee volunteer initiatives aimed at improving our industry.

BETTER TOGETHER


We recognize your role as a financial professional is delivering more than just regulatory oversight. Partnering with STRATA's unparalleled knowledge and expertise in the custody of alternative assets, seamless technology solutions, and delivery of exceptional service enables you to deliver the value your investors are looking for.

Connect with us today to learn how we can empower your investors, help you build value, and deliver a clear difference.

Experience with thousands of investments and platforms, STRATA has unparalleled knowledge and expertise in the custody of alternative assets. Our technology solutions and delivery of exceptional service show why STRATA is the preferred custodian for some of the fastest-growing private investments in the financial marketplace.



From Here You Can See For Miles



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