

# STRATA AT A GLANCE

## WHO WE SERVE



### Individual Investors

Simple custody solutions for self-directed IRA investments allowing greater control and flexibility over retirement portfolios.



### Financial Professionals

Streamlined and scalable institutional self-directed IRA solutions that deliver value to your investors.

## BY THE NUMBERS

**\$5B**

Assets Under Custody

**40K**

Client Accounts

**9K**

Investments and Platforms

**75+**

Employees

**350**

Years of Collective Experience

## ABOUT US



Founded in 2008



Subsidiary of Horizon Bank



1300+ Reviews, 5-Star Rated Industry-Leader



100% Organic Growth

## INVESTMENT OPTIONS

Self-directed IRAs offer a broad range of investment options to choose from, including:

- Directly owned real estate
- Private equity or debt investments
- Trust deeds or mortgage notes
- Promissory notes or corporate debt
- Closely-held stocks
- Equity or debt crowdfunding
- Public or private LPs or LLCs
- REITs
- Precious metals
- Brokerage accounts for publicly-traded stocks, mutual funds, or ETFs



**STRATA**  
TRUST COMPANY

*Carve your own path to retirement.™*

Investment Products: Not FDIC-Insured • No Bank Guarantee • May Lose Value  
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# THE DETAILS MATTER

- Investment flexibility beyond what most banks, brokerage firms, and other financial institutions allow.
- Intuitive customer-focused technology for seamless self-directed IRA management.
- Unmatched personalized service with easily accessible, knowledgeable, and dedicated representatives.

# WHAT SETS STRATA APART



# SAFEGUARDS AND STABILITY

- Texas trust company charter with safety and soundness exams by the Texas Department of Banking.
- Regular audits by independent third-party auditors to ensure compliance with financial statements, reporting, data security, and IRA operations.
- Comprehensive corporate liability insurance package through underwriters rated A++ (Superior) by A.M. Best (for STRATA's protection only, does not cover client assets).
- Uninvested funds held in FDIC-insured accounts.
- Broad privacy safeguards to ensure the security of client records.

## 2024

Assets under custody exceed \$5B. Investment Hub & ServiceNOW provide a responsive online experience.



## 2021

Assets under custody exceed \$3B. Launched ServiceNOW form technology for simplified IRA transactions.



## 2019

Assets under custody exceed \$2B. Grew to 50+ employees.



## 2017

Self-Directed IRA Services, Inc rebrands and STRATA Trust Company is born.



## 2015

Assets under custody exceed \$1B.



## 2014

A new industry milestone: One of the first custodians to work with crowdfunding platforms.



## 2010

Introduced institutional IRA services to benefit investment platforms, advisors, and professionals.



## 2008

Self-Directed IRA Services, Inc is established.



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