



*Yuanta
Securities Vietnam*

Yuanta Securities VIETNAM

www.yuanta.com.vn

YUANTA FINANCIAL HOLDINGS



OVERVIEW

Yuanta Financial Holdings began as Yuanta Securities in 1961 in Taiwan and gained widespread recognition in 2007 following the merger of Fuhwa Financial Holdings and Yuanta Core Pacific Securities. With the goal of becoming **Asia's leading comprehensive financial group**, Yuanta has expanded its business across multiple sectors. Today, it stands as one of Taiwan's largest financial conglomerates, encompassing banking, securities, and insurance, with total assets exceeding **110 billion USD** (2024).

VISION - MISSION - CORE VALUES

Vision

With the goal of becoming the **Best Financial Services Provider in the Asia-Pacific region**, Yuanta develops diversified and multinational financial services to meet customers' needs in wealth management, fundraising planning, and cash flow integration. Yuanta confidently pursues the direction of "**local living, global financial management**", ensuring global financial management solutions are tailored to local living conditions.

Mission

Yuanta Financial Holdings leverages its internal and external resources to provide the most comprehensive, efficient, and value-added financial services to its customers. In pursuit of maximizing profits for shareholders, customers, and the corporation, Yuanta builds its competitive advantages to assist customers in creating fortune and achieve their financial freedom goals.

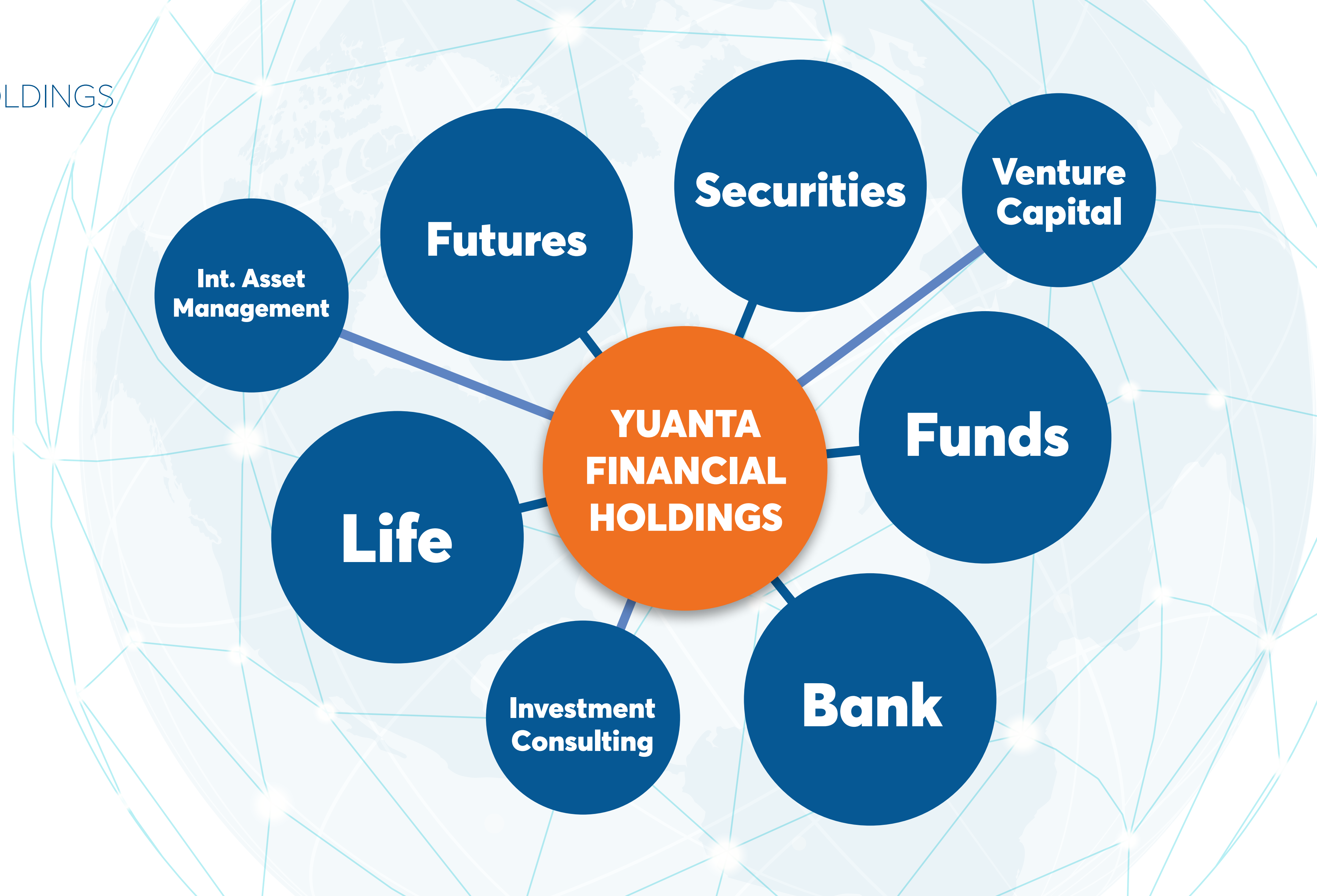
Core Values

- Seizing Opportunities and Building Wealth for Clients
- Enhancing Performance and Promoting Employee Welfare
- Creating Value and Increasing Shareholders' Return

Teamwork and Discipline: These key elements are integral to Yuanta's core values. By fostering teamwork and maintaining discipline, Yuanta ensures stable growth even in changing financial markets, protecting the assets of our customers and shareholders while delivering steady and growing returns over time.

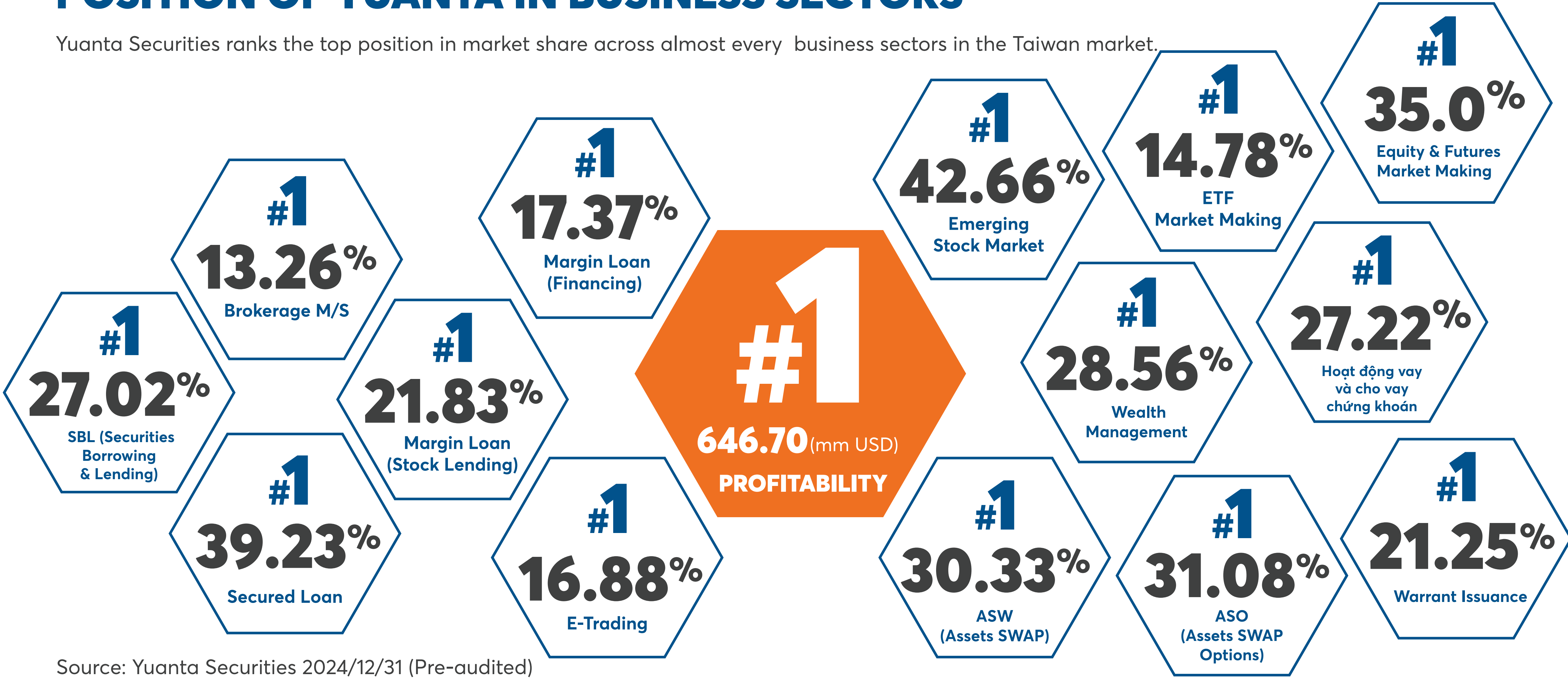
YUANTA FINANCIAL HOLDINGS

ECOSYSTEM



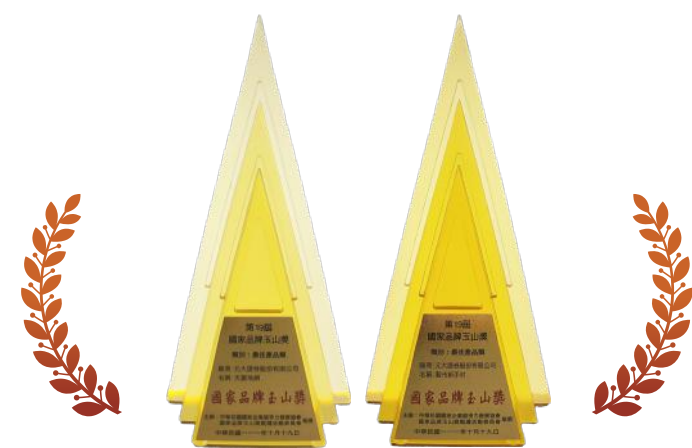
POSITION OF YUANTA IN BUSINESS SECTORS

Yuanta Securities ranks the top position in market share across almost every business sectors in the Taiwan market.



Source: Yuanta Securities 2024/12/31 (Pre-audited)

AWARDS AND RECOGNITIONS



NATIONAL BRAND YUSHAN AWARDS

2022
Best Product: Inescapable Net &
Best Product: Grain Market Newbie Village
Was organized by **Nat'l Enterprise Competitiveness Dev. Assoc**



NATIONAL BRAND YUSHAN AWARDS

5 consecutive years (2019 - 2023)
Outstanding Enterprise
Was organized by **Nat'l Enterprise Competitiveness Dev. Assoc**



WEALTH MANAGEMENT

8 consecutive years (2017 - 2024)
Was organized by **Wealth Magazine**



DIAMOND AWARD FOR INTRODUCING BROKER TRADING VOLUME

2017, 2018, 2020, 2021, 2022
Was organized by **Taiwan Futures Exchange**



THE BEST SERVICE IN TAIWAN

2022
Was organized by **Commercial Times**



WEALTH MANAGEMENT EVALUATION

8 consecutive years (2017- 2024)
Was organized by **Business Today**

YUANTA SECURITIES VIETNAM



OVERVIEW

Yuanta Securities Vietnam is proud to be the member of Yuanta Financial Holdings — a leading financial conglomerate in Asia — and is a subsidiary of Yuanta Securities Taiwan, one of the long-established and leading securities firms in Taiwan, holding the **TOP 1 POSITION IN MARKET SHARE AND PROFIT** in the industry. Leveraging the strengths from its parent company, Yuanta Securities Vietnam has continually grown, with its charter capital increasing to VND 1.5 trillion in 2020; VND 2 trillion in 2021; and VND 2.5 trillion in 2023. In addition, the company is consistently researching and developing comprehensive financial solutions with the goal of reaching the **TOP 10 SECURITIES COMPANIES IN VIETNAM** and **We Create Fortune**.

YUANTA SECURITIES VIETNAM

DEVELOPMENT JOURNEY

1999

The First Securities (FSC) was established in Binh Duong province with a charter capital of VND 43 billion.

2000

Became an official member of the Ho Chi Minh City Stock Exchange.

2001

Established the Ho Chi Minh City branch.

2006

Yuanta Securities acquired a 28.1% equity stake, becoming an official member of the Hanoi Stock Exchange (HNX).

2017

Yuanta Securities increased its ownership to 99.95%.

2016

Approved by the SSC for online trading activities.

2010

Established the Hanoi branch.

2008

Increased charter capital from VND 100 billion to VND 300 billion.

2007

Increased charter capital from VND 43 billion to VND 100 billion.

2018

Increased charter capital from 300 billion VND to 1.000 billion VND.
Renamed to Yuanta Securities Vietnam and moved headquarters to Ho Chi Minh City.
Established branches in Binh Duong and Dong Nai.
Launched YSwinner.

2019

Established branches in Da Nang and Vung Tau.
Started trading services in Derivatives Securities.
Launched YSfuture.

2020

Increased the charter capital to 1.5 trillion VND.
Launched YSradar.

2021

Increase the charter capital to 2 trillion VND.
Established the Lang Ha office
Launched YSuri.

2022

Launched YSwealth.
Launched YSinvest.

2023

Increased the charter capital to 2.5 trillion VND.
Continued the capital increase plan, enhance competitive advantage.
Launched YSflex.

2024

Developed technology, high-quality human esources, and increased profits.

PRODUCTS – SERVICES

RETAIL CLIENTS

TRADING SERVICES

- Underlying securities
- Derivative securities
- Covered Warrants
- Bonds
- Exchange Traded Funds, Open-Ended Funds

FINANCIAL SERVICES

- Margin Lending
- Cash advance
- Automatic cash advance

OTHER SERVICES

- Securities custody service
- Shareholders register management
- Investment advisory service



PRODUCTS – SERVICES

INSTITUTIONAL CLIENTS

TRADING SERVICES

- Underlying Securities, Derivative securities, Covered Warrants, Bonds
- Financial services (margin lending, cash advance)
- Research & Analysis
- Investor Relations

INVESTMENT BANKING SERVICES

- Merger and Acquisition (M&A)
- Initial public offering (IPO)
- Capital raising services (equity and debt financing)
- Consulting for listing on HOSE/HNX and registration for Upcom trading
- Financial solutions for businesses

INVESTOR RELATIONS SERVICES

- Investor relations strategy consulting
- Corporate IR activities consulting



YUANTA SECURITIES VIETNAM THE COMPREHENSIVE INVESTMENT ECOSYSTEM

INVESTMENT PLATFORMS



YSflex - The Reliable Investment App

Securities investment app focused on enhancing investors' experience with Reliability, Comprehensiveness, and Convenience.

ysflex.yuanta.com.vn



YSfuture - The Derivative Securities Trading App

The Derivative Securities Trading App.

ysfuture.vn

INVESTMENT PRODUCTS



YSwealth - Customized Portfolio Investment According to Investor Preferences

A tool for investing based on stock portfolios tailored to investor preferences, thoroughly analyzed for growth potential and market trends. Invest in YSwealth portfolios directly on

ysradar.yuanta.com.vn/wealth



YSsaving - Stock Accumulation Investment

A leading stock accumulation investment tool – offering sustainable financial growth, aligned with the potential of long-term wealth enhancement for investors.

INVESTMENT SERVICES



YSradar - Comprehensive Market Analysis Tool

A tool for aggregating and comprehensively analyzing data, providing recommendations based on market signals.

ysradar.yuanta.com.vn



YSuri - The powerful AI assistant for every investor

Utilizing Artificial Intelligence (AI) and Machine Learning, YSuri is a powerful assistant that helps address questions during the investment process.

t.me/ysvn_bot



YSedu - Practical Investment Skills Training System

A series of in-depth and comprehensive stock investment courses with clear, visual, and engaging lectures.

ysedu.yuanta.com.vn



YSinvest - Market portal with integrated stock filtering and evaluation tools

Real-time market data updates, integrated with Stock Screener – a stock filter based on technical analysis, financial indicators, and foreign trading – and Stock Rating – a comprehensive evaluation of stock quality, providing investors with reliable information for reference.

yuanta.com.vn/ysinvest

BOARD OF MANAGEMENT



Mr. Chao Jen Kai

Chairman of the Council of Members
Chief Financial Officer

Mr. Chao Jen Kai graduated from the University of British Columbia (Canada) with a Master of Business Administration. He previously served as the Head of Finance Department and worked in the Investment Banking Department at Yuanta Securities Taiwan and Hong Kong. With over 17 years of experience across Taiwan, Hong Kong, and Vietnam, he possesses in-depth knowledge and extensive experience in finance, investment banking, and securities areas.



Mr. Nguyen Thanh Tung

Chief Executive Officer

Mr. Nguyen Thanh Tung has over 17 years of experience in the finance and securities industry with large corporations and securities firms in Vietnam. He has held several senior management positions in business development at securities companies in Vietnam and has practical experience in managing and expanding securities branch networks across various provinces nationwide.



Mrs. Le Thi Quyen

Executive Vice President

Mrs. Le Thi Quyen has over 22 years of experience in various fields including finance, securities, and insurance at major financial companies and groups both domestically and internationally. In the securities sector, she has worked at leading securities firms as Director of the Business Division and Deputy General Director of the Securities Services Division.

YUANTA SECURITIES VIETNAM

HONORS & PRIZES



TOP 10
TRUSTED SERVICE
PRODUCTS

In 2020, the category "Best Securities Brokerage Service"
In 2021, the category "YSradar - Most Popular
Stock Selection Tool"



TOP 50
OUTSTANDING FDI COMPANY

2019, 2020, 2021, 2022, 2023 & 2024



APEA AWARDS
FAST ENTERPRISE AWARD
2020 & 2021



TOP 10
OUTSTANDING BRAND
IN ASIA – PACIFIC

2021



CERTIFICATE OF MERIT
COMPANY ACHIEVING
EXCELLENCE IN TAX LAW
COMPLIANCE IN 2020



SAIGON TIMES 2021
CERTIFICATE OF RECOGNITION

Of great contribution to local communities
through corporate social responsibility
(CSR) activities

YUANTA SECURITIES VIETNAM

HONORS & PRIZES



HR ASIA AWARD
BEST COMPANIES TO WORK FOR IN ASIA
2023, 2024



ASIAMONEY BROKERS POLL 2023
11 AWARDS
Best International Brokerages
Best International Brokerages
Best International Brokerages for Sales
and other awards.

YUANTA SECURITIES VIETNAM

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Cho Lon Branch

521 Hong Bang street, ward 14, district 5,
Ho Chi Minh city, Vietnam.

Tel: +84 28 3622 6868 (Ext: 3605)

Binh Duong Branch

455, Phu Cuong ward, Thu Dau Mot city,
Binh Duong province, Vietnam.

Tel: +84 28 3622 6868 (Ext: 3506)

Dong Nai Branch

1st Floor, Pegasus Plaza building, 53 – 55 Vo Thi Sau street,
Quyet Thang ward, Bien Hoa ward, Bien Hoa city,
Dong Nai province, Vietnam.

Tel: +84 28 3622 6868 (Ext: 3703)

FIND US

Vung Tau Branch

3rd Floor, Hodeco Building, 36 Nguyen Thai Hoc street,
Vung Tau city, Ba Ria – Vung Tau province, Vietnam.

Tel: +84 28 3622 6868 (Ext: 3201)

Da Nang Branch

1st Floor, 150 – 156 Nguyen Van Linh street, Vinh Trung ward,
Thanh Khe district, Da Nang city, Vietnam.

Tel: +84 28 3622 6868 (Ext: 3302)

Ha Noi Branch

Floor G and 2A, CONINCO Building, 4 Ton That Tung street,
Trung Tu ward, Dong Da district, Ha Noi city, Vietnam.

Tel: +84 28 3622 6868

