



*Yuanta  
Securities Vietnam*

# Yuanta Securities VIETNAM

[www.yuanta.com.vn](http://www.yuanta.com.vn)





# YUANTA FINANCIAL HOLDINGS





# OVERVIEW

Yuanta Financial Holdings began as Yuanta Securities in 1961 in Taiwan and gained widespread recognition in 2007 following the merger of Fuhwa Financial Holdings and Yuanta Core Pacific Securities. With the goal of becoming **Asia's leading comprehensive financial group**, Yuanta has expanded its business across multiple sectors. Today, it stands as one of Taiwan's largest financial conglomerates, encompassing banking, securities, and insurance, with total assets exceeding **110 billion USD** (2024).

# VISION - MISSION - CORE VALUES

## Vision

With the goal of becoming the **Best Financial Services Provider in the Asia-Pacific region**, Yuanta develops diversified and multinational financial services to meet customers' needs in wealth management, fundraising planning, and cash flow integration. Yuanta confidently pursues the direction of "**local living, global financial management**", ensuring global financial management solutions are tailored to local living conditions.

## Mission

Yuanta Financial Holdings leverages its internal and external resources to provide the most comprehensive, efficient, and value-added financial services to its customers. In pursuit of maximizing profits for shareholders, customers, and the corporation, Yuanta builds its competitive advantages to assist customers in creating fortune and achieve their financial freedom goals.

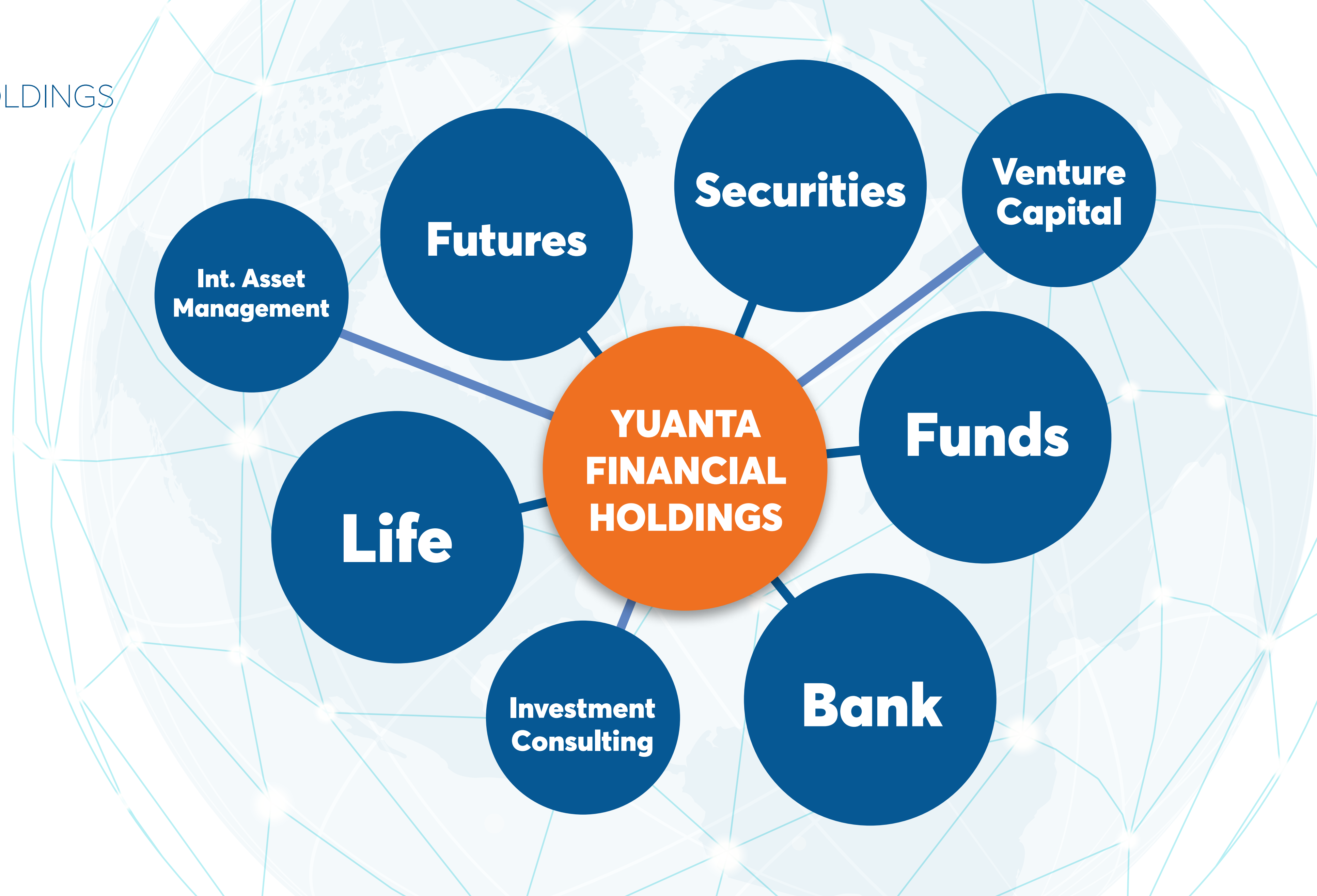
## Core Values

- Seizing Opportunities and Building Wealth for Clients
- Enhancing Performance and Promoting Employee Welfare
- Creating Value and Increasing Shareholders' Return

**Teamwork and Discipline:** These key elements are integral to Yuanta's core values. By fostering teamwork and maintaining discipline, Yuanta ensures stable growth even in changing financial markets, protecting the assets of our customers and shareholders while delivering steady and growing returns over time.

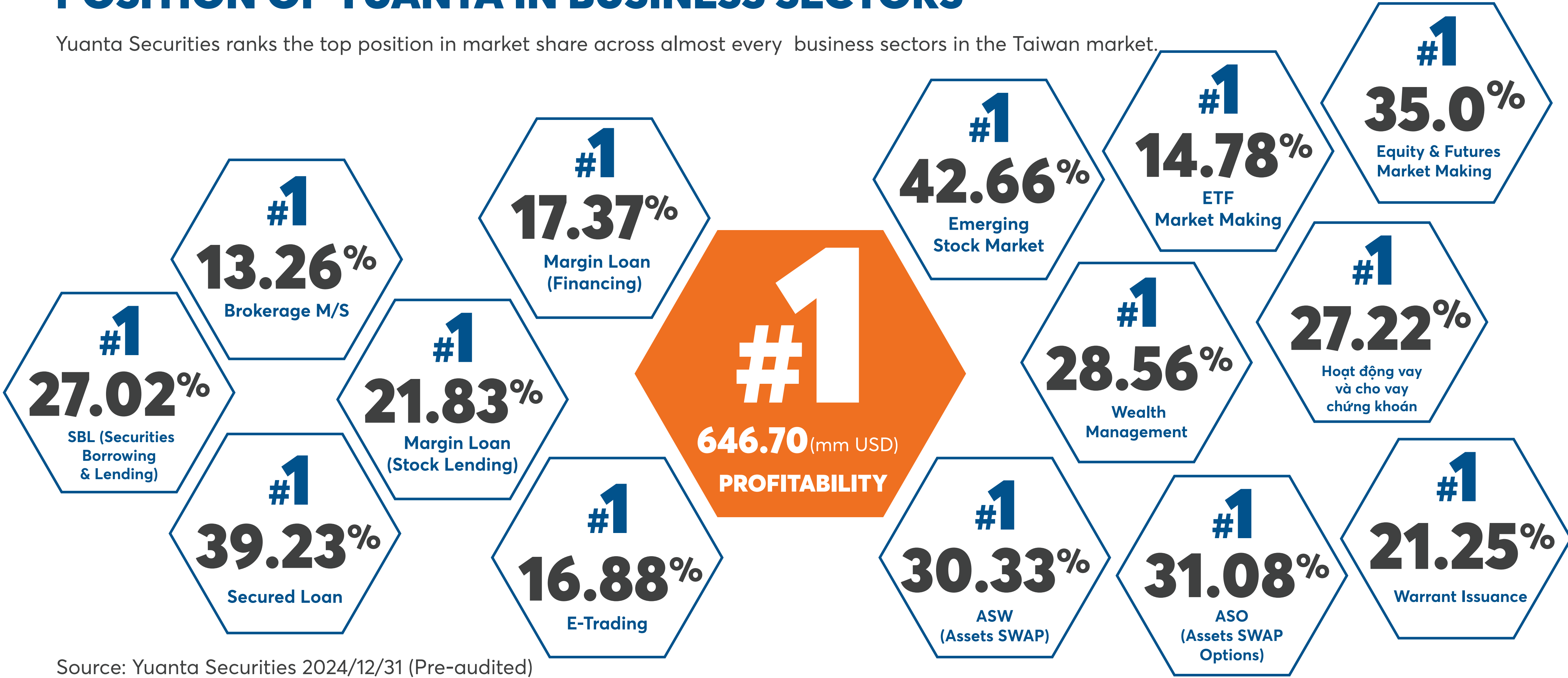
YUANTA FINANCIAL HOLDINGS

# ECOSYSTEM



# POSITION OF YUANTA IN BUSINESS SECTORS

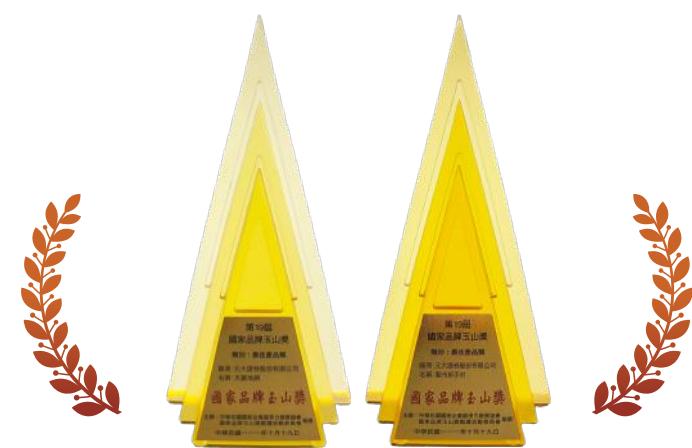
Yuanta Securities ranks the top position in market share across almost every business sectors in the Taiwan market.



Source: Yuanta Securities 2024/12/31 (Pre-audited)



# AWARDS AND RECOGNITIONS



## NATIONAL BRAND YUSHAN AWARDS

2022  
Best Product: Inescapable Net &  
Best Product: Grain Market Newbie Village  
Was organized by **Nat'l Enterprise Competitiveness Dev. Assoc**



## NATIONAL BRAND YUSHAN AWARDS

5 consecutive years (2019 - 2023)  
Outstanding Enterprise  
Was organized by **Nat'l Enterprise Competitiveness Dev. Assoc**



## WEALTH MANAGEMENT

8 consecutive years (2017 - 2024)  
Was organized by **Wealth Magazine**



## DIAMOND AWARD FOR INTRODUCING BROKER TRADING VOLUME

2017, 2018, 2020, 2021, 2022  
Was organized by **Taiwan Futures Exchange**



## THE BEST SERVICE IN TAIWAN

2022  
Was organized by **Commercial Times**



## WEALTH MANAGEMENT EVALUATION

8 consecutive years (2017- 2024)  
Was organized by **Business Today**



# YUANTA SECURITIES VIETNAM





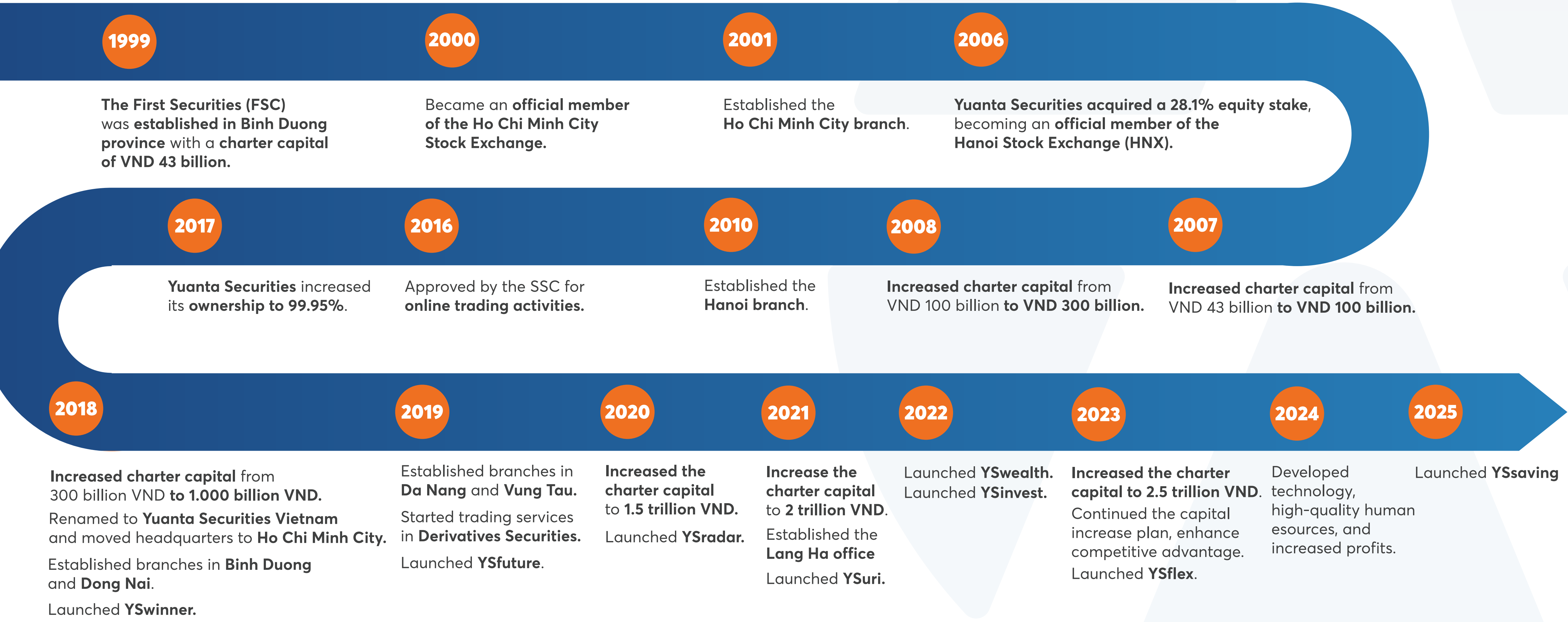
## OVERVIEW

Yuanta Securities Vietnam is proud to be the member of Yuanta Financial Holdings — a leading financial conglomerate in Asia — and is a subsidiary of Yuanta Securities Taiwan, one of the long-established and leading securities firms in Taiwan, holding the **TOP 1 POSITION IN MARKET SHARE AND PROFIT** in the industry. Leveraging the strengths from its parent company, Yuanta Securities Vietnam has continually grown, with its charter capital increasing to VND 1.5 trillion in 2020; VND 2 trillion in 2021; and VND 2.5 trillion in 2023. In addition, the company is consistently researching and developing comprehensive financial solutions with the goal of reaching the **TOP 10 SECURITIES COMPANIES IN VIETNAM** and **We Create Fortune**.



YUANTA SECURITIES VIETNAM

# DEVELOPMENT JOURNEY





**PRODUCTS – SERVICES**

## RETAIL CLIENTS

### TRADING SERVICES

- Underlying securities
- Derivative securities
- Covered Warrants
- Bonds
- Exchange Traded Funds, Open-Ended Funds

### FINANCIAL SERVICES

- Margin Lending
- Cash advance
- Automatic cash advance

### OTHER SERVICES

- Securities custody service
- Shareholders register management
- Investment advisory service





**PRODUCTS – SERVICES**

## **INSTITUTIONAL CLIENTS**

### **TRADING SERVICES**

- Underlying Securities, Derivative securities, Covered Warrants, Bonds
- Financial services (margin lending, cash advance)
- Research & Analysis
- Investor Relations

### **INVESTMENT BANKING SERVICES**

- Merger and Acquisition (M&A)
- Initial public offering (IPO)
- Capital raising services (equity and debt financing)
- Consulting for listing on HOSE/HNX and registration for Upcom trading
- Financial solutions for businesses

### **INVESTOR RELATIONS SERVICES**

- Investor relations strategy consulting
- Corporate IR activities consulting





# YUANTA SECURITIES VIETNAM THE COMPREHENSIVE INVESTMENT ECOSYSTEM

## INVESTMENT PLATFORMS



### **YSflex - The Reliable Investment App**

Securities investment app focused on enhancing investors' experience with Reliability, Comprehensiveness, and Convenience.

[ysflex.yuanta.com.vn](https://ysflex.yuanta.com.vn)



### **YSfuture - The Derivative Securities Trading App**

The Derivative Securities Trading App.

[ysfuture.vn](https://ysfuture.vn)

## INVESTMENT PRODUCTS



### **YSwealth - Customized Portfolio Investment According to Investor Preferences**

A tool for investing based on stock portfolios tailored to investor preferences, thoroughly analyzed for growth potential and market trends. Invest in YSwealth portfolios directly on

[nentang.yuanta.com.vn/quan-ly-tai-san-yswealth](https://nentang.yuanta.com.vn/quan-ly-tai-san-yswealth)



### **YSsaving - Stock Accumulation Investment**

A leading stock accumulation investment tool – offering sustainable financial growth, aligned with the potential of long-term wealth enhancement for investors.

[nentang.yuanta.com.vn/tich-luy-tai-san-yssaving](https://nentang.yuanta.com.vn/tich-luy-tai-san-yssaving)

## INVESTMENT SERVICES



### **YSradar - Comprehensive Market Analysis Tool**

A tool for aggregating and comprehensively analyzing data, providing recommendations based on market signals.

[ysradar.yuanta.com.vn](https://ysradar.yuanta.com.vn)



### **YSuri - The powerful AI assistant for every investor**

Utilizing Artificial Intelligence (AI) and Machine Learning, YSuri is a powerful assistant that helps address questions during the investment process.

[t.me/ysvn\\_bot](https://t.me/ysvn_bot)



### **YSedu - Practical Investment Skills Training System**

A series of in-depth and comprehensive stock investment courses with clear, visual, and engaging lectures.

[ysedu.yuanta.com.vn](https://ysedu.yuanta.com.vn)



### **YSinvest - Market portal with integrated stock filtering and evaluation tools**

Real-time market data updates, integrated with Stock Screener – a stock filter based on technical analysis, financial indicators, and foreign trading – and Stock Rating – a comprehensive evaluation of stock quality, providing investors with reliable information for reference.

[yuanta.com.vn/ysinvest](https://yuanta.com.vn/ysinvest)



# BOARD OF MANAGEMENT



**Mr. Chao Jen Kai**

Chairman of the Council of Members  
Chief Financial Officer

Mr. Chao Jen Kai graduated from the University of British Columbia (Canada) with a Master of Business Administration. He previously served as the Head of Finance Department and worked in the Investment Banking Department at Yuanta Securities Taiwan and Hong Kong. With over 17 years of experience across Taiwan, Hong Kong, and Vietnam, he possesses in-depth knowledge and extensive experience in finance, investment banking, and securities areas.



**Mr. Nguyen Thanh Tung**

Chief Executive Officer

Mr. Nguyen Thanh Tung has over 17 years of experience in the finance and securities industry with large corporations and securities firms in Vietnam. He has held several senior management positions in business development at securities companies in Vietnam and has practical experience in managing and expanding securities branch networks across various provinces nationwide.



**Mrs. Le Thi Quyen**

Executive Vice President

Mrs. Le Thi Quyen has over 22 years of experience in various fields including finance, securities, and insurance at major financial companies and groups both domestically and internationally. In the securities sector, she has worked at leading securities firms as Director of the Business Division and Deputy General Director of the Securities Services Division.



YUANTA SECURITIES VIETNAM

# HONORS & PRIZES



## TOP 10 TRUSTED SERVICE PRODUCTS

In 2020, the category "Best Securities Brokerage Service"  
In 2021, the category "YSradar - Most Popular  
Stock Selection Tool"



## TOP 50 OUTSTANDING FDI COMPANY

2019, 2020, 2021, 2022, 2023 & 2024



## APEA AWARDS FAST ENTERPRISE AWARD

2020 & 2021



## TOP 10 OUTSTANDING BRAND IN ASIA – PACIFIC

2021



## CERTIFICATE OF MERIT COMPANY ACHIEVING EXCELLENCE IN TAX LAW COMPLIANCE IN 2020



## SAIGON TIMES 2021 CERTIFICATE OF RECOGNITION

Of great contribution to local communities  
through corporate social responsibility  
(CSR) activities



YUANTA SECURITIES VIETNAM

# HONORS & PRIZES



**HR ASIA AWARD**  
**BEST COMPANIES TO WORK FOR IN ASIA**  
2023, 2024



**ASIAMONEY BROKERS POLL 2023**  
**11 AWARDS**  
Best International Brokerages  
Best International Brokerages  
Best International Brokerages for Sales  
and other awards.



# YUANTA SECURITIES VIETNAM

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## Binh Duong Branch

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## Dong Nai Branch

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53 – 55 Vo Thi Sau Street, Tran Bien Ward,  
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## FIND US

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### Da Nang Branch

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Thanh Khe Ward, Da Nang City, Vietnam.

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### Ha Noi Branch

Ground Floor and 2A Floor, CONINCO BUILDING,  
4 Ton That Tung Street, Kim Lien Ward,  
Hanoi City, Vietnam.

Tel: +84 28 3622 6868

