

# Investment Preference Pathway — Record

Your preferences will be considered alongside your financial objectives, risk profile and overall circumstances. Your adviser will recommend a suitable investment approach, which may involve balancing or adapting these preferences.

**INFORMED CHOICE:** I confirm that I have read the Investment Preference Pathway document, and I now feel ready to make choices about my investment preferences and objectives. Please tick to confirm

**IMPORTANT:** You may have preferences towards one, or across several of the pathways. There is no hierarchy attached to these strategies. You can choose one or more, or you may wish to assign a different Pathway to different products (ie ISA or Pension). To ensure your portfolio meets your financial objectives and attitude to risk, your adviser will discuss and confirm your selection % for your investment with you.

 Conventional	 Conventional + ESG	 Sustainability		 Ethical
<input type="checkbox"/> Client % of investment	<input type="checkbox"/> Client % of investment	<b>Broad Sustainability Approach</b>	<b>More Focussed Sustainability Approach</b>	<input type="checkbox"/> Client % of investment
<input type="checkbox"/> Adviser agree %	<input type="checkbox"/> Adviser agree %	<input type="checkbox"/> Client % of investment	<input type="checkbox"/> Client % of investment	<input type="checkbox"/> Adviser agree %
		<input type="checkbox"/> Adviser agree %	<input type="checkbox"/> Adviser agree %	<input type="checkbox"/> I would like to leave the decision of how ethical values are incorporated to the discretion of the fund manager
		<input type="checkbox"/> I would like to leave how sustainability is incorporated to the discretion of the fund manager	<input type="checkbox"/> I would like to complete a questionnaire to express my personal preferences	Or:
		<input type="checkbox"/> If you have any specific areas of interest, please complete the notes section	And/Or:	<input type="checkbox"/> I would like to complete a questionnaire to express my personal preferences
			<input type="checkbox"/> I would like to invest via a UK sustainability label	

## Adviser to confirm:

Tick:  Preferences fully met

Tick:  Preferences partially met (see adviser comments)

Tick:  Preferences not applied (see adviser comments)

# Informed choice: Preference Pathway Record

## CLIENT COMMENTS

## ADVISER COMMENTS

Adviser rationale (such as how preferences have been applied, any trade-offs or limitations, or any differences between client preference and recommendation):

## SIGNATURE & COMMENTS: Confirmation of understanding

**I would like my adviser to use the information from this Investment Preference Pathway Record and any additional notes/documents to align my investment objectives and preferences with a suitable investment solution**

Please ask your financial adviser if you need more information or have further questions

**Client name**

**Adviser name**

**Client signature**

**Adviser signature**

**Date**