



## Preference Questionnaire for beliefs and values: Ethical/Values-based



These investments aim to reflect personal values by avoiding certain activities, sectors or practices, and in some cases supporting others.

Different investments take different approaches to ethical considerations, and your adviser will help explain what is possible in the context of your overall financial goals.

**You do not need to complete all sections. Your adviser will help you focus on what is most relevant.**

**Understanding this questionnaire:** This questionnaire is optional and is only used if you would like to express your investment preferences in more detail. You can choose to:

- keep your preferences simple and leave decisions to your adviser and the fund manager
- or provide more detail using this questionnaire

Your adviser will guide you through this and help you decide what is appropriate for you.

**How your responses are used:** Your responses help your adviser understand your preferences. They will be considered alongside:

- your financial goals
- your attitude to risk and capacity for loss
- your time horizon
- and your wider financial circumstances

Your adviser will recommend an investment approach that is suitable for you, which may involve balancing or adapting these preferences.

**Important to know:** Not all preferences can always be applied exactly as requested. There may be trade-offs between preferences, diversification and risk. Different investments take different approaches, which your adviser will explain. Selecting specific themes or outcomes does not guarantee particular real-world results. Your adviser will explain what is possible and any limitations.

I confirm I have read the Investment Preference Pathway information and am ready to express my preferences in more detail.

Not all exclusions may be possible to apply fully. Your adviser will explain what is achievable and how this may affect your investment options.

Negative screening factors:	Must avoid:	Avoid where possible:	Comments:
<b>Alcohol:</b> Production			
<b>Animal testing, non Medical:</b> cosmetics, toiletries and household products			
<b>Animal testing, medical:</b> testing of medicines and healthcare products			
<b>Animal welfare, food &amp; Farming:</b> intensive farming			
<b>Animal welfare, food &amp; Farming:</b> meat processing and sales			
<b>Animal welfare, fur:</b> Retailing fur and farming animals for fur			

<b>Armaments &amp; Weapons:</b> Manufacture of weapons and munitions and strategic military contracts			
<b>Climate change:</b> Fossil fuels, greenhouse gases & negative climate impacts			
<b>Environmental impact:</b> Negative impacts or inadequate impact mitigation			
<b>Gambling:</b> National Lottery, bookmakers, casinos			
<b>Genetic Modification:</b> Development or use of GM organisms			
<b>Human Rights:</b> Human rights violations or operations in high-risk countries			
<b>Nuclear:</b> Nuclear power & industry services			
<b>Adult entertainment:</b> production of pornography			
<b>Sharia Law:</b> Companies not compliant with Islamic law			
<b>Tobacco:</b> Production of tobacco and related products			

**Any other comments related to avoiding specific companies/activities:**

Please indicate below if there are particular areas you are interested in:	Please indicate your level of interest (1=low, 5=high):	Comments:
<b>Climate mitigation:</b> Renewable energy, energy efficiency, and low-carbon technology		
<b>Engagement:</b> Dialogue to encourage improvement		
<b>Environmental Management services</b>		
<b>Environmental technology</b>		
<b>Human Rights:</b> positive practices		
<b>Society:</b> community		
<b>Society:</b> education & knowledge		
<b>Society:</b> employee welfare		
<b>Society:</b> health		

Any other comments:

Client name:	Client signature:	Date: