




Institutional IRA Fee Schedule

Effective January 1, 2024

To qualify for this fee schedule, account must hold at least one or more private equity investment products offered by the investment sponsor. The account may also hold a brokerage account.

Note: Holding precious metals, directly owned real estate, or other alternative investments in an account under this fee schedule will cause the account to be upgraded to our current published fees on our standard IRA Fee Schedule.

Account Set-up Fee (Due upon opening; waived for  online account opening)

\$50

Annual Account Fee – No asset holding or processing fees apply.
(Due at account opening and each year on the anniversary of account opening)

\$250

Service Fees (Charged at time service is provided. Service Fees subject to change without notice)

Outgoing Wire	\$35
Any Return Item, Stop Payment, or Card Decline	\$35
Cashier's Check	\$50
Withholding	\$30 federal; \$10 state
Overnight Fee – FedEx, UPS, or USPS only (Avoid by providing pre-printed carrier label)	\$40 + cost
Late Fee (Charged one time, 30 days after the invoice date)	\$50
Paper Statements Mailed (Electronic statements are free)	\$50/year
Distribution	No charge
In-Kind Distribution or Asset Reimbursement (Per asset)	\$75
Account Reinstatement (Includes reinstating assets)	\$250
Roth Conversion or Recharacterization (Per asset)	\$50/asset
990-T Expense Payment	\$50
Corrected 5498 or 1099-R	\$100
Late FMV	\$50
Note Modification	\$50
Partial Transfer Out	No charge
Account Closure	\$250
Special Requests or Research	\$25/request or \$75/hr for research

Fees are not prorated and STRATA reserves the right to charge for other services not shown above.

Cash Account Information for Uninvested Cash: Funds received on behalf of an IRA account are automatically deposited into a Custodial NOW account held with Horizon Bank, an affiliate of STRATA Trust Company ("STRATA"), and/or other depository banks selected by STRATA, where they are FDIC-insured up to \$250,000 per depositor, pending further investment direction. Interest rates are subject to change in accordance with market conditions at the sole discretion of STRATA. Please refer to the *Terms for Uninvested Cash* section in your IRA Accountholder Agreement for more information.

INVESTMENT PRODUCTS: NOT FDIC-INSURED ♦ NO BANK INVESTMENT GUARANTEE ♦ MAY LOSE VALUE